



JPW

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10 / 631,115
	Filing Date	31-Jul-2003
	First Named Inventor	Paul Michel
	Art Unit	2624
	Examiner Name	GABRIEL I.GARCIA
Total Number of Pages in This Submission	Attorney Docket Number	EFIM0233

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Form 1449/PTO(2 copies)
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. Cited References
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3. Return Receipt Postcard
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	James Trosino
Signature	
Date	24-Jan-2006

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Jim Bush	
Signature		Date 24-Jan-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Attorney Docket Number EFIM0233

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Paul Michel
Serial No. : 10/631,115
Filed : 31-Jul-2003
For : METHODS AND APPARATUS FOR ANALYZING ELECTRONIC
: DOCUMENTS AND DIGITAL PRINTING SYSTEMS
Group Art Unit : 2624
Examiner : GABRIEL I. GARCIA

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
BOX 1450
Alexandria, VA 22313-1450

Sir:

Applicant submits this Information Disclosure Statement:

☒ under 37 CFR 1.97(b) (within three months of the filing date of a national application; within three months of the date of entry of the national stage in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination, whichever occurs last); or

☐ under 37 CFR 1.97(c) (after the CFR 1.97(b) time period, but before the mailing date of any of a final action, a notice of allowance, or an action that otherwise closes prosecution in the application) together with:

☐ the statement specified in 37 CFR §1.97(e); or

☐ the fee set forth in 37 CFR §1.17(p); or

☐ under 37 CFR 1.97(d) (after the CFR 1.97(c) time period, but before payment of the issue fee) together with:

☐ the statement specified in 37 CFR §1.97(e); and

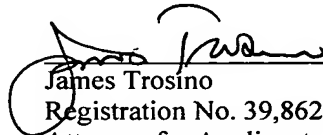
☐ the fee set forth in 37 CFR §1.17(p).

Applicant submits herewith PTO Form 1449 together with copies of patents, publications, applications, or other information listed therein. Applicant submits herewith a concise explanation of any patent, publication or other information listed in PTO Form 1449 that is not in the English language.

- ☐ Applicant certifies pursuant to 37 C.F.R. § 1.97(e)(1) that each item of information listed on the attached PTO Form 1449 was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ Applicant certifies pursuant to 37 C.F.R. § 1.97(e)(2) that no item of information listed on the attached PTO Form 1449 was cited in a communication from a foreign patent office in a counterpart foreign application. In addition, to the knowledge of the undersigned after making reasonable inquiry, no item of information listed on the attached PTO Form 1449 was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ All of the references have been previously cited in parent application Serial No. _____. Accordingly, Applicant has not provided copies of these references as they are readily found in the file of the parent application.

Applicant respectfully requests that the information disclosed herein be made of record in this application.

Respectfully submitted,


James Trosino
Registration No. 39,862
Attorney for Applicant

92 Natoma Street, Suite 211
San Francisco, CA 94105
Tel.: (415) 495-7750



Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

[illegible][illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to this office. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

